

13 Rec'd PTO 21 MAY 2001

Knobbe Martens Olson & Bear LLP

Intellectual Property Law

550 West C Street
Suite 1200
San Diego CA 92101
Tel 619-235-6550
Fax 619-235-0176
knob.com

May 21, 2001

11:20 am

ORIGINAL WILL FOLLOW VIA:

- ☐ Mail
- ☐ Courier
- ☐ International Airmail
- ☐ Hand Delivery
- ☒ Will Not Follow
- ☐ With Enclosures
- ☐ Without Enclosures

Facsimile Transmittal Sheet**Confidentiality Notice:**

The documents accompanying this facsimile transmission contain confidential information which may be legally privileged. The information is intended only for the use of the recipient named below. If you have received this facsimile in error, please immediately notify us by telephone to arrange for return of the original documents to us; any disclosure, copying, distribution or the taking of any action in reliance on the contents of this faxed information is strictly prohibited.

TO: W. M. Alvarado

FIRM: UNITED STATES PATENT AND TRADEMARK OFFICE

FACSIMILE NO.: 703-305-3230

FROM: Ned A. Israelsen

CLIENT CODE: SN 09/623,728

PAGES: 13 (INCLUDING THIS SHEET)

IF YOU DID NOT RECEIVE ALL OF THE PAGES, PLEASE CALL BACK IMMEDIATELY.

MESSAGE: Per your request, attached are copies of the missing parts documents filed on January 16,

2001. Please call me should you have any questions. Thank you.

Louis J. Knobbe
Don W. Martens
Gordon H. Olson
James B. Bear
Darrell L. Olson
William B. Bunker
William A. Nieman
Arthur S. Rose
James F. Lesniak
Ned A. Israelsen
Drew S. Hamilton
Jerry T. Sewell
John B. Sganga, Jr.
Edward A. Schlatter
Gerald von Hoffmann
Joseph R. Re
Catherine J. Holland
John M. Carson
Karen Vogel Weil
Andrew H. Simpson
Jeffrey L. Van Hoesen
Daniel E. Altman
Marguerite L. Gunn
Stephen C. Jensen
Vito A. Canuso III
William H. Shreve
Lynda J. Zadra-Symest
Steven J. Nalaupsky
Paul A. Stewart
Joseph F. Jennings
Craig S. Summers
AnneMarie Kaiser
Brenton R. Babcock
Thomas F. Smegal, Jr.
Michael H. Trenholm
Diane M. Reed
Ronald J. Schoenbaum
John R. King
Frederick S. Berrotta
Nancy W. Vensko

John P. Glezenanner
Adeel S. Akhtar
Ginger R. Dreger
Thomas R. Arnd
David N. Weiss
Daniel Hart, Ph.D.
Douglas G. Muehlhauser
Lori Lee Yamato
Michael K. Friedland
Dale C. Hunt, Ph.D.
Richard E. Campbell
Paul D. Tripodi II
Stacey R. Halpern
Lee W. Henderson, Ph.D.
Deborah S. Shepherd
Mark M. Abumeri
Jon W. Gurka
Eric M. Nelson
Mark R. Benedict, Ph.D.
Paul N. Conover
Robert J. Roby
Sabing H. Lee
Karlinc A. Delaney
John W. Holcomb
James J. Mullen III, Ph.D.
Joseph S. Cianfrani
Joseph M. Reisman, Ph.D.
William R. Zimmerman
Glen L. Nuttall
Eric S. Furman, Ph.D.
Tirzah Abe Lowe
Geoffrey Y. Iida
Alexander Franco
Sanjivpa S. Gill
Susan M. Moss
James W. Hill, M.D.
Rose M. Thiessen, Ph.D.
Michael L. Fuller
Michael A. Gulliana
Mark J. Kertz

Ramona A. Nizula
Bruce S. Nizula
Peter M. Midgley
Thomas S. McClenahan
Michael S. Okamoto
John M. Grover
Maury K. De Moner
Irfan A. Latael
Amy D. Christensen
Sharon S. Ng
Mark J. Gallagher, Ph.D.
David G. Jankowski, Ph.D.
Brian C. Horne
Payson J. LeMelleur
Diana W. Prince
William C. Bolling
Sheila N. Swarcop
Ben A. Katzenellenbogen
Charlotte Lee Armstrong
Linda H. Liu
Vincent M. Polimeier
Jeffrey S. Elsworth
Andrew N. Merickel, Ph.D.
Douglas T. Hudson
David L. Hauser
Kasre D. Larson
James F. Harkenhoff
Scott L. Murray
C. Philip Poirier
Roger S. Shang
Andrew M. Douglas
Marc T. Morley
Sallma A. Merani, Ph.D.
Tina M. Chappel
Sam K. Tahmaasebi, Ph.D.
Jalal Sadr
Jonathan A. Hymar
Curtiss C. Dosier
Richard A. DeCristofaro
Donna O. Perdue

Of Counsel
Jerry R. Seiler
Paul C. Steinfeld

Japanese Patent Atty
Katsuhiko Arai

European Patent Atty
Martin Hellbrandt

Korean Patent Atty
Mincheol Kim
Heungsoo Choi

Solobr (England & Wales)
J. David Evered

Scientists & Engineers
(Non-Lawyers)

Ramona J. Salenleaks**
Khurram Rahman, Ph.D.
Jennifer A. Haynes, Ph.D.
Grendan P. O'Neill, Ph.D.
Tommy Y. Nagata
Megumi Tanaka
Che S. Chereskin, Ph.D.
Philip C. Hartstein
James W. Ausley
R. Sue P. Carter, Ph.D.
Jennifer Hayes
Kirk E. Fastorian, Ph.D.
Charles T. Ridgely
John F. Kerles
Bonny Young, Ph.D.
Cannie C. Teng, Ph.D.
Suzanne G. Jepson, Ph.D.
David K. Wiggins
Megan M. McGov
Vira M. Brand
Catherine M. Sanders
Iman Bakht
Thomas E. Vesbit
Jeffrey A. Hookins
Tiffany C. Miller
James W. Chang, Ph.D.
Marna L. Gercey, Ph.D.

* A Professional Corporation
† Also Barrister At Law and Solicitor
** U.S. Patent Agent

620 Newport Center Drive
Sixteenth Floor
Newport Beach, CA 92660
Tel 949-760-0404
Fax 949-760-9502

201 California Street
Suite 1150
San Francisco CA 94111
Tel 415-954-4114
Fax 415-954-4111

1800 Avenue of the Stars
Suite 1425
Los Angeles CA 90067
Tel 310-551-3450
Fax 310-551-3450

3801 University Avenue
Suite 710
Riverside CA 92501
Tel 909-781-8231
Fax 909-781-4507

PATENT

Case Docket No. ALLIA 143C1

Date: May 21, 2001

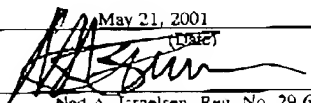
Page 1

In re application of : Habib Zaghouani
App. No. : 09/623,728
Filed : September 5, 2000
For : COMPOUNDS,
COMPOSITIONS AND
METHODS FOR THE
ENDOCYTIC PRESENTATION
OF IMMUNOSUPPRESSIVE
FACTORS

Examiner : W. M. Alvarado
Art Unit : Unknown

CERTIFICATE OF FAX TRANSMISSION

I hereby certify that this correspondence and all marked
attachments are being facsimile transmitted to the Patent
and Trademark Office on the date shown below:

May 21, 2001
(DATE)

Ned A. Israelsen, Reg. No. 29,655

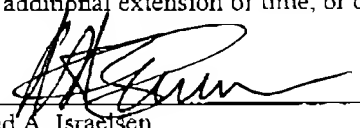
ASSISTANT COMMISSIONER FOR PATENTS

WASHINGTON, D.C. 20231

Sir:

Per Examiner Alvarado's request, following are copies of the documents filed on January 16, 2001 in response to the Notice to File Missing Parts of Application Under 37 CFR 1.53(f). Enclosed are the following:

- (X) A COPY of the Transmittal Letter dated January 16, 2001.
- (X) A COPY of the Declaration of Inventor(s).
- (X) A COPY of the Revocation and Power of Attorney with attached recorded assignment.
- (X) A COPY of the verified statement to establish small entity status under 37 CFR 1.9 and 1.27.
- (X) A COPY of the Notification of Missing Parts.
- (X) A COPY of the PTO stamped return postcard.
- (X) Please charge any additional fees, including any fees for additional extension of time, or credit overpayment to Deposit Account No. 11-1410.


Ned A. Israelsen
Registration No. 29,655
Attorney of Record

CHECKED BY: MA DATE: 1-29-01 CASE NO: ALLA 143C1 ATTY: ---

COPY

UTILITY/DESIGN PATENT

(Missing Parts)

DATE OF O.A.: 10/17/00

DATE: 01/16/01

Rec'd in the U.S.P.T.O. on the date stamped hereon via Certificate of Mail:

Any. Dkt. # ALLA 148C1

A/N: 09/623,738

Filed: 09/05/00

Applicant: Habib Zaghouani

Any: Nai/3m

Title: Compounds, Compositions and methods for the...

VERIFIED BY: Asst: CGD

Quality Control: MA

☒ Transmittal Letter in Duplicate

☐ Preliminary Amendment in --- pgs.

☒ Copy of Notice of Missing Requirements

☐ Copy of Original Specification and Drawings

☐ Decl. and Power of Attorney

☐ Decl. by Inventor(s)

☒ Power of Atty. by Assignee; Copy of Assignment

☒ Ext. of Time Requested

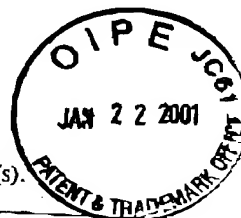
☒ Small Entity Statement(s)

☐ Letter Requesting Refund in dupl.

☒ \$ 260 Check for Filing Fee

☐ Information Disclosure Statement; PTO 1449 w/ --- Ref(s).

☒ Return Postcard



1/96

Verified by: MA

1. IN THE SYSTEM:

Access 3 UPDATE ACTION FILE & DOCKETS screen, then access 1 UPDATE ACTION DATA. Alt F5, type case number and press F3.

Alt F3 to search for one of the following action types "M/D MAINT FEE, M/D ISSUE FEE, US-ASSIGNMENT, M/D CORRECT F/R, MD PETITION PTO, or STATUS CHK PTO". Change "No" to "Yes" in same line as POSTCARD REC'D under Taken column.

FOR ALL OTHER POSTCARDS, Alt F3 to search for "MD DOCUMENT PTO", in the response sent date, enter the date we received the postcard at KMOB, and change completed to YES.

F8 to modify.

Note: If a client record does not exist for the case number, the document was probably not checked by quality control before it was filed with the PTO. Call for the file and enter all pertinent information after you receive the file. Remind the assistant to always send documents to the PTO through quality control.

PRINT UP COVER SHEET
DOUBLE CHECK YOUR WORK.

07/10/99

COPY

PATENT

Case Docket No. ALLIA.143C1

Date: January 16, 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Habib Zaghouani

App. No. : 09/623,728

Filed : September 5, 2000

For : COMPOUNDS,
COMPOSITIONS AND
METHODS FOR THE
ENDOCYTIC
PRESENTATION OF
IMMUNOSUPPRESSIVE
FACTORS

I hereby certify that this correspondence and all
marked attachments are being deposited with the
United States Postal Service as first class mail in
an envelope addressed to: Assistant Commissioner
for Patents, Washington, D.C. 20231, on

January 16, 2001

(Date)

Not A. Israelsen, Reg. No. 29,655

Group Art Unit : Unknown

TRANSMITTAL LETTER

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

ATTENTION: BOX MISSING PARTS

Dear Sir:

In response to the Notice to File Missing Parts of Application Under 37 CFR 1.53(f),
which was mailed by the Office on October 17, 2000, enclosed are:

- (X) An executed Declaration by Inventor(s).
- (X) A Power of Attorney Form and Copy of Assignment.
- (X) An extension of time to respond for 2 month(s) is hereby requested.

Time Extension Fee:

(X) two months (\$195 small entity)

- (X) A verified statement to establish small entity status under 37 CFR 1.9 and 1.27.

PATENT

COPY

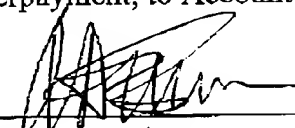
Case Docket No. ALLIA.143C1

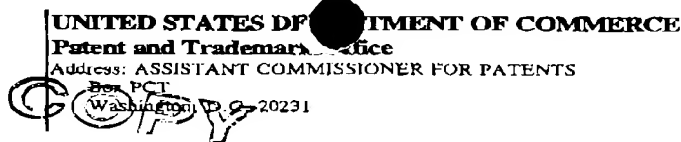
Date: January 16, 2001

- (X) A Notice to File Missing Parts.
- (X) Return prepaid postcard.
- (X) Fees as calculated below:

FEE FOR EXTENSION OF TIME (LARGE ENTITY)	2 months	\$ 390
SURCHARGE 37 CFR 1.16(e)		\$ +130
TOTAL OF ABOVE CALCULATIONS		\$ 520
REDUCTION BY 1/2 FOR FILING BY SMALL ENTITY.		
Note 37 CFR 1.9, 1.27, 1.28. If applicable, verified statement must be attached. \$ - 260		
TOTAL FEES SUBMITTED HERewith		\$ 260

- (X) A check in the amount of \$260 to cover the above fees is enclosed.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, now or in the future, or credit any overpayment, to Account No. 11-1410. A duplicate copy of this sheet is enclosed.


Ned A. Israelsen
Registration No. 29,655
Attorney of Record

ALLIA.143C
NAIL/JM

U.S. APPLICATION NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/623728	ZAGHOUBANI	H ALLIA.143C1

KNOBBE MARTENS OLSON & BEAR
620 NEWPORT CENTER DRIVE
SIXTEENTH FLOOR
NEWPORT BEACH, CA 92660

INTERNATIONAL APPLICATION NO.

PCT/US99/15225

I.A. FILING DATE PRIORITY DATE

06 JUL 98

06 JUL 98

DATE MAILED: 17 OCT 2000

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as

- ☐ a Designated Office (37 CFR 1.494),
☒ an Elected Office (37 CFR 1.495):

- ☒ U.S. Basic National Fee.
☒ Copy of the international application in:
☐ a non-English language.
☒ English.

- ☐ Translation of the international application into English.
☐ Oath or Declaration of inventor(s) for DO/EO/US.
☐ Copy of Article 19 amendments.
☐ Translation of Article 19 amendments into English.
☐ The International Preliminary Examination Report in English and its Annexes, if any.
☐ Translation of Annexes to the International Preliminary Examination Report into English.
☐ Preliminary amendment(s) filed _____ and _____.
☐ Information Disclosure Statement(s) filed _____ and _____.
☐ Assignment document.
☐ Power of Attorney and/or Change of Address.
☐ Substitute specification filed _____.
☐ Verified Statement Claiming Small Entity Status.
☐ Priority Document.
☒ Copy of the International Search Report ☒ and copies of the references cited therein.
☐ Other:

DATE RECEIVED: OCT 24 2000
VERIFIED BY: [Signature]
FILED DATE: NOV 17 2000
FILED DEADLINE: APR 17 2001
FILED BY: NAIL/JM

2. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- ☐ a. Translation of the application into English. Note a processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.
☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.
☐ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).
☒ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
☐ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.
☒ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

3. Additional claim fees of \$ _____ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due. See attached PTO-875.

ALL OF THE ITEMS SET FORTH IN 2(a)-2(d) AND 3 ABOVE MUST BE SUBMITTED WITHIN ONE MONTH FROM THE DATE OF THIS NOTICE OR BY ☐ 21 OR ☒ 31 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

4. Translation of the Annexes **MUST** be submitted no later that the time period set above or the annexes will be cancelled. Note processing fee will be required if submitted later than 30 months from the priority date.

5. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 1.494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

A copy of this notice *MUST* be returned with this response.

Enclosed:

☐ PCT/DO/EO/917 ☐ Notice of Defective Translation

☐ PTO-875

FORM PCT/DO/EO/905 (December 1997)

Winston M Alvarado

Telephone: 703-305-6421

*** RX REPORT ***

RECEPTION OK

TX/RX NO	7510
CONNECTION TEL	
SUBADDRESS	
CONNECTION ID	
ST. TIME	05/21 13:18
USAGE T	02'11
PGS.	13
RESULT	OK